

03/27/01

Jc860 U.S. PTO

## UTILITY

PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 1737/00014

First Named Inventor or Application Identifier

Katsuki Hazama

Title

DATA CARRIER, GAME MACHINE USING  
DATA CARRIER, INFORMATION  
COMMUNICATION METHOD  
INFORMATION COMMUNICATION,  
AUTOMATED TRAVELING CONTROL  
SYSTEM AND STORING MEDIUM

Express Mail Label No.

J1033 U.S. PTO  
09/617123

03/27/01

## APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents  
Box Applications  
Washington, D.C. 20231

1. ☒ Filing fee as calculated below.
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages [60])  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) (Total Pages [19])
5. Oath or Declaration (Total Pages [1])
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment papers (cover sheet & document(s)) SEE NEXT PAGE FOR ASSIGNEE INFORMATION
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified copy of Priority Document(s)  
(if foreign priority is claimed) **Photocopy of  
Submission of Priority Document from parent  
application (09/060,106)**
16. ☐ Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/060,106

Prior application information: Examiner M. Trinh Group/Art Unit: 3729

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code  
label here)

or correspondence address below

NAME

Connolly Bove Lodge &amp; Hutz LLP

ADDRESS

Suite 800

1990 M Street, N.W.

CITY

Washington

STATE

DC

ZIP CODE

20036-3425

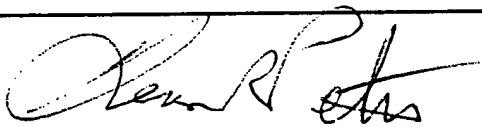
COUNTRY	S.A	TELEPHONE	(202) 331-7111	FAX	(202) 293-6229
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### Fee Calculation and Transmittal

(Col 1)			(Col 2)	(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
	NO. FILED			NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	16	minus	20	= 0	x9=	\$		x18=	\$
INDEP	6	minus	3	= 3	x40=	\$		x80=	\$240
_ First Presentation, Multiple Dependent Claims					+135=	\$		+270=	\$
Base Filing Fee						\$355			\$710
Other Fee (specify purpose)						\$			\$
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR	TOTAL	\$950

- ☒ A check in the amount of \$ 950.00 to cover the filing fee is enclosed
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.

- ☐ Charge the amount of \$\_\_ as filing fee
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16
- ☒ Charge any additional filing fees required under 37 CFR § 1.17
- ☒ If filing fee is not enclosed herewith, the filing fee(s) required to Deposit Account No. 22-0185.

Name (Print/Type)	George R. Pettit	Registration No. (Attorney/Agent)	27,369
Signature			Date March 25, 2001

#2  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11033 U.S. PRO  
09/017123  
03/27/01

In re Application of:

Katsuki HAZAMA

Serial No. 09/060,106

Art Unit: 3711

Filed: April 15, 1998

Examiner: Unassigned

For: DATA CARRIER, GAME  
MACHINE USING DATA  
CARRIER, INFORMATION  
COMMUNICATION  
METHOD, INFORMATION  
COMMUNICATION,  
A U T O M A T E D  
TRAVELLING CONTROL  
SYSTEM AND STORING  
MEDIUM

Atty Docket: 1737/009

SUBMISSION OF CERTIFIED COPIES OF PRIORITY DOCUMENTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

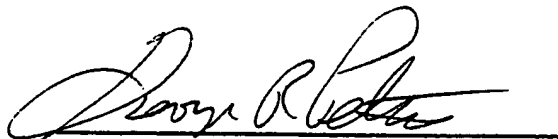
Sir:

Priority under 35 U.S.C. § 119 having been claimed, certified copies  
of the following priority documents are submitted herewith:

<u>Country</u>	<u>Priority Document Serial No.</u>	<u>Filing Date</u>
Japan	JP 114413/1997	April 16, 1997
Japan	JP 363693/1997	December 16, 1997
Japan	JP 36889/1998	February 19, 1998

Acknowledgement of this submission in the next official communication is respectfully requested.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "George R. Pettit", written over a horizontal line.

George R. Pettit, Reg. No. 27,369  
Pollock, Vande Sande & Priddy, R.L.L.P.  
1990 M Street, N.W.  
Washington, D. C. 20036-3425  
Telephone: 202-331-7111

Date: July 2, 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11033 U.S. PRO  
09/817123  
03/27/01

In re Application of:

Katsuki HAZAMA

Serial No. To be assigned

Art Unit: Unassigned

Filed: April 15, 1998

Examiner: Unassigned

For: DATA CARRIER, GAME  
MACHINE USING DATA  
CARRIER, INFORMATION  
COMMUNICATION METHOD,  
I N F O R M A T I O N  
C O M M U N I C A T I O N ,  
AUTOMATED TRAVELLING  
CONTROL SYSTEM AND  
STORING MEDIUM

Atty Docket: 1737/009

CLAIM TO PRIORITY UNDER 35 U.S.C. § 119

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Priority under 35 U.S.C. § 119 is hereby claimed to the following priority documents, certified copies of which will be furnished in due course. The documents were filed in a foreign country within the proper statutory period prior to the filing of the above-referenced United States patent application.

<u>Country</u>	<u>Priority Document Serial No.</u>	<u>Filing Date</u>
Japan	JP 114413/1997	April 16, 1997
Japan	JP 363693/1997	December 16, 1997
Japan	JP 36889/1998	February 19, 1998

Acknowledgement of this claim and submission in the next official communication is respectfully requested.

Respectfully submitted,



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Date: April 15, 1998